



In the United States Patent and Trademark Office

Applicant: Kim M. Hoertsch Docket No.: 13724  
Serial No.: 10/010,511 Group No.: 1744  
Confirmation No.: 6460 Examiner: Unknown  
Filed: December 6, 2001 Date: March 12, 2002  
For: DISPOSABLE ORAL HYGIENE DEVICE AND METHODS OF MAKING  
SAME

**Information Disclosure Statement Pursuant to 37 C.F.R. 1.97(b)  
Before First Office Action or Within Three Months of Filing Date**

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**TC 1700**

ASSISTANT COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

Sir:

Pursuant to 37 C.F.R. 1.56 and in accordance with 37 C.F.R. 1.97 et seq., Applicant, through and by his or her attorneys, hereby wishes to direct the Examiner's attention to the documents listed on the attached modified PTO Form 1449 and the copies of those documents presented herewith for the Examiner's review and convenience.

Applicants also wish to bring the following commonly-assigned pending patent application to the attention of the Examiner:

U.S. Patent Application Serial No. 09/351,015 filed July 12, 1999, by Cise et al. for "ORAL CARE SYSTEM."

This application is not listed on the accompanying PTO Form 1449; however, a copy of this application is provided herewith.

By inclusion of any given document in this Information Disclosure Statement, Applicant in no way admits that such document is effective as prior art against the above-identified application under either 35 U.S.C. 102 or 35 U.S.C. 103.

Additionally, submission of any document is not to be taken as an admission of the materiality of the document to the prosecution of the present application.

The Examiner is requested to review each cited document and personally determine its prior art status.

Applicant recommends that the Examiner conduct an independent search for any and all available material prior art and independently review the results of such search and the documents cited within this Statement.

Applicant requests the Examiner, in accordance with 37 C.F.R. 1.97, to indicate and make of record receipt and review of all of these documents by initialing the appropriate box in the accompanying PTO-1449. Applicant requests that the undersigned receive a copy of the initialed PTO-1449.

If the Examiner refuses to consider any or all of the herein submitted materials because it is the Examiner's opinion that this Information Disclosure Statement is not in compliance with 37 C.F.R. 1.97, Applicant respectfully requests that the Examiner notify the undersigned, in writing, as to the basis of such opinion.

Please charge any prosecutorial fees which are due to Kimberly-Clark Worldwide, Inc. deposit account number 11-0875.

The undersigned may be reached at: 920-721-7844.

Respectfully submitted,

KIM M. HOERTSCH

By: H. Michael Kubicki

H. Michael Kubicki

Registration No.: 51,235



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**PATENT**

**THE UNITED STATES PATENT AND TRADEMARK OFFICE**

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**CERTIFICATE OF MAILING UNDER 37 C.F.R. 1.8(a)**

I hereby certify that the attached correspondence comprising:

Information Disclosure Statement Pursuant to 37 C.F.R. 1.97(b)  
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15 Page(s) of PTO Form 1449  
346 Document(s)

is being deposited with the United States Postal Service as first-class mail in a box addressed to:

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## List of Patents and Publications for Information Disclosure Statement

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| U.S. PATENT DOCUMENTS |          |           |         |                |          |             |  |
|-----------------------|----------|-----------|---------|----------------|----------|-------------|--|
| Initials              | Doc. No. | Date      | Name    | Class          | Subclass | Filing Date |  |
|                       | A1       | D214238   | 05/1969 | Bender         |          |             |  |
|                       | A2       | D223651   | 05/1972 | Hermann        |          |             |  |
|                       | A3       | D282698   | 02/1986 | Newton, Jr.    |          |             |  |
|                       | A4       | D332658   | 01/1993 | Thompson       |          |             |  |
|                       | A5       | D379508   | 05/1997 | Hudson et al.  |          |             |  |
|                       | A6       | 463,309   | 11/1891 | Schulze        |          |             |  |
|                       | A7       | 1,002,468 | 09/1911 | Strangman      |          |             |  |
|                       | A8       | 1,517,615 | 12/1924 | Chynoweth      |          |             |  |
|                       | A9       | 1,894,413 | 01/1933 | Nenning et al. |          |             |  |
|                       | A10      | 1,896,941 | 02/1933 | Cohen          |          |             |  |
|                       | A11      | 1,924,337 | 08/1933 | Troupa         |          |             |  |
|                       | A12      | 2,016,951 | 10/1935 | Welker         |          |             |  |
|                       | A13      | 2,165,420 | 07/1939 | Seifert        |          |             |  |
|                       | A14      | 2,176,308 | 10/1939 | Larkin         |          |             |  |
|                       | A15      | 2,271,861 | 02/1942 | Harris         |          |             |  |
|                       | A16      | 2,419,896 | 04/1947 | Hobelmann      |          |             |  |
|                       | A17      | 2,627,493 | 02/1953 | Merckel et al. |          |             |  |
|                       | A18      | 2,700,012 | 01/1955 | Merckel et al. |          |             |  |
|                       | A19      | 2,710,982 | 06/1955 | Gillem         |          |             |  |
|                       | A20      | 2,769,193 | 11/1956 | Jackson        |          |             |  |
|                       | A21      | 2,946,072 | 07/1960 | Filler et al.  |          |             |  |
|                       | A22      | 3,103,935 | 09/1963 | Woodrow        |          |             |  |
|                       | A23      | 3,105,260 | 10/1963 | Smith et al.   |          |             |  |
|                       | A24      | 3,116,208 | 12/1963 | Emond          |          |             |  |
|                       | A25      | 3,124,824 | 03/1964 | Lutz           |          |             |  |
|                       | A26      | 3,138,820 | 06/1964 | August         |          |             |  |
|                       | A27      | 3,174,174 | 03/1965 | Dengler        |          |             |  |
|                       | A28      | 3,231,925 | 02/1966 | Conder         |          |             |  |

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| U.S. PATENT DOCUMENTS |          |           |         |                   |          |             |  |
|-----------------------|----------|-----------|---------|-------------------|----------|-------------|--|
| Initials              | Doc. No. | Date      | Name    | Class             | Subclass | Filing Date |  |
|                       | A29      | 3,235,460 | 02/1966 | Ennever           |          |             |  |
|                       | A30      | 3,298,507 | 01/1967 | Micciche          |          |             |  |
|                       | A31      | 3,321,796 | 05/1967 | Lelicoff          |          |             |  |
|                       | A32      | 3,368,668 | 02/1968 | Micciche          |          |             |  |
|                       | A33      | 3,422,184 | 01/1969 | Goldman et al.    |          |             |  |
|                       | A34      | 3,458,268 | 07/1969 | Wozab et al.      |          |             |  |
|                       | A35      | 3,467,979 | 09/1969 | Bohlmann          |          |             |  |
|                       | A36      | 3,491,396 | 01/1970 | Eannarino et al.  |          |             |  |
|                       | A37      | 3,534,887 | 10/1970 | Ginsberg          |          |             |  |
|                       | A38      | 3,536,410 | 10/1970 | Wargoe            |          |             |  |
|                       | A39      | 3,583,019 | 06/1971 | Conklin, Jr.      |          |             |  |
|                       | A40      | 3,589,822 | 06/1971 | Manuel            |          |             |  |
|                       | A41      | 3,589,823 | 06/1971 | Hendrickson       |          |             |  |
|                       | A42      | 3,590,120 | 06/1971 | Muhler            |          |             |  |
|                       | A43      | 3,609,789 | 10/1971 | Slater            |          |             |  |
|                       | A44      | 3,629,395 | 12/1971 | Litchfield et al. |          |             |  |
|                       | A45      | 3,646,628 | 03/1972 | Halford           |          |             |  |
|                       | A46      | 3,651,206 | 03/1972 | Litchfield et al. |          |             |  |
|                       | A47      | 3,655,866 | 04/1972 | Bilotti           |          |             |  |
|                       | A48      | 3,675,264 | 07/1972 | Storandt          |          |             |  |
|                       | A49      | 3,679,792 | 07/1972 | Litchfield et al. |          |             |  |
|                       | A50      | 3,691,585 | 09/1972 | Flom              |          |             |  |
|                       | A51      | 3,707,013 | 12/1972 | Erkers            |          |             |  |
|                       | A52      | 3,738,762 | 06/1973 | Moore et al.      |          |             |  |
|                       | A53      | 3,749,766 | 07/1973 | Litchfield et al. |          |             |  |
|                       | A54      | 3,761,583 | 09/1973 | Gladstone         |          |             |  |
|                       | A55      | 3,772,431 | 11/1973 | Mikvy et al.      |          |             |  |
|                       | A56      | 3,798,698 | 03/1974 | Conklin, Jr.      |          |             |  |

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|-----------------------|-----------|---------|---------------------------------|--|-------|----------|-------------|--|
| Initials              | Doc. No.  | Date    | Name                            |  | Class | Subclass | Filing Date |  |
| A57                   | 3,853,412 | 12/1974 | Griffin                         |  |       |          |             |  |
| A58                   | 3,879,139 | 04/1975 | Dahl et al.                     |  |       |          |             |  |
| A59                   | 3,888,976 | 06/1975 | Mlkvy et al.                    |  |       |          |             |  |
| A60                   | 3,891,085 | 06/1975 | Boger                           |  |       |          |             |  |
| A61                   | 3,894,147 | 07/1975 | Bahouth, deceased et al.        |  |       |          |             |  |
| A62                   | 3,897,548 | 07/1975 | Katz                            |  |       |          |             |  |
| A63                   | 3,902,509 | 09/1975 | Tundermann et al.               |  |       |          |             |  |
| A64                   | 3,905,113 | 09/1975 | Jacob                           |  |       |          |             |  |
| A65                   | 3,917,420 | 11/1975 | Watson                          |  |       |          |             |  |
| A66                   | 3,943,592 | 03/1976 | Bhaskar et al.                  |  |       |          |             |  |
| A67                   | 3,952,867 | 04/1976 | McCord                          |  |       |          |             |  |
| A68                   | 3,962,417 | 06/1976 | Howell                          |  |       |          | MAR 22 2002 |  |
| A69                   | 3,985,869 | 10/1976 | Yoshimura et al.                |  |       |          | TC 1700     |  |
| A70                   | 4,029,760 | 06/1977 | De Roeck born Holtzhauer et al. |  |       |          |             |  |
| A71                   | 4,070,218 | 01/1978 | Weber                           |  |       |          |             |  |
| A72                   | 4,107,291 | 08/1978 | Ishibashi                       |  |       |          |             |  |
| A73                   | 4,115,893 | 09/1978 | Nakata et al.                   |  |       |          |             |  |
| A74                   | 4,127,645 | 11/1978 | Witzel et al.                   |  |       |          |             |  |
| A75                   | 4,137,593 | 02/1979 | Porper                          |  |       |          |             |  |
| A76                   | 4,148,872 | 04/1979 | Wagenknecht, deceased et al.    |  |       |          |             |  |
| A77                   | 4,149,815 | 04/1979 | Kawam                           |  |       |          |             |  |
| A78                   | 4,150,112 | 04/1979 | Wagenknecht, deceased et al.    |  |       |          |             |  |
| A79                   | 4,150,151 | 04/1979 | Pader et al.                    |  |       |          |             |  |
| A80                   | 4,156,715 | 05/1979 | Wagenknecht, deceased et al.    |  |       |          |             |  |
| A81                   | 4,156,716 | 05/1979 | Wagenknecht, deceased et al.    |  |       |          |             |  |
| A82                   | 4,157,385 | 06/1979 | Wagenknecht, deceased et al.    |  |       |          |             |  |
| A83                   | 4,157,386 | 06/1979 | La Rochelle                     |  |       |          |             |  |
| A84                   | 4,159,315 | 06/1979 | Wagenknecht, deceased et al.    |  |       |          |             |  |

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| Initials              | Doc. No. | Date      | Name    | Class                        | Subclass | Filing Date |             |
|                       | A85      | 4,160,054 | 07/1979 | Wagenknecht, deceased et al. |          |             |             |
|                       | A86      | 4,160,820 | 07/1979 | Wagenknecht, deceased et al. |          |             |             |
|                       | A87      | 4,161,517 | 07/1979 | Wagenknecht, deceased et al. |          |             |             |
|                       | A88      | 4,170,632 | 10/1979 | Wagenknecht, deceased et al. |          |             |             |
|                       | A89      | 4,170,633 | 10/1979 | Wagenknecht, deceased et al. |          |             |             |
|                       | A90      | 4,183,916 | 01/1980 | Rodon                        |          |             |             |
|                       | A91      | 4,198,171 | 04/1980 | Lampka et al.                |          |             |             |
|                       | A92      | 4,213,961 | 07/1980 | Curtis et al.                |          |             |             |
|                       | A93      | 4,217,341 | 08/1980 | Suddick et al.               |          |             |             |
|                       | A94      | 4,217,343 | 08/1980 | Gaffar et al.                |          |             |             |
|                       | A95      | 4,225,579 | 09/1980 | Kleinberg                    |          |             |             |
|                       | A96      | 4,237,911 | 12/1980 | White                        |          |             |             |
|                       | A97      | 4,243,655 | 01/1981 | Gunther                      |          |             | MAR 22 2002 |
|                       | A98      | 4,256,731 | 03/1981 | Curtis et al.                |          |             |             |
|                       | A99      | 4,292,705 | 10/1981 | Stouffer                     |          |             | TC 1700     |
|                       | A100     | 4,296,095 | 10/1981 | Hoppe et al.                 |          |             |             |
|                       | A101     | 4,306,555 | 12/1981 | Ritter                       |          |             |             |
|                       | A102     | 4,328,604 | 05/1982 | Adams                        |          |             |             |
|                       | A103     | 4,329,990 | 05/1982 | Sneider                      |          |             |             |
|                       | A104     | 4,335,100 | 06/1982 | Robyt et al.                 |          |             |             |
|                       | A105     | 4,335,731 | 06/1982 | Bora, Jr.                    |          |             |             |
|                       | A106     | 4,360,513 | 11/1982 | Buck                         |          |             |             |
|                       | A107     | 4,360,514 | 11/1982 | Buck                         |          |             |             |
|                       | A108     | 4,360,515 | 11/1982 | Buck                         |          |             |             |
|                       | A109     | 4,361,547 | 11/1982 | Sipos et al.                 |          |             |             |
|                       | A110     | 4,362,712 | 12/1982 | Buck                         |          |             |             |
|                       | A111     | 4,364,924 | 12/1982 | Gander et al.                |          |             |             |
|                       | A112     | 4,364,927 | 12/1982 | Sipos et al.                 |          |             |             |

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|-----------------------|------|-----------|---------|------------------|-------|----------|-------------|
| Initials              |      | Doc. No.  | Date    | Name             | Class | Subclass | Filing Date |
|                       | A113 | 4,374,122 | 02/1983 | Stroz et al.     |       |          |             |
|                       | A114 | 4,374,124 | 02/1983 | Jarcho           |       |          |             |
|                       | A115 | 4,374,888 | 02/1983 | Bornslaeger      |       |          |             |
|                       | A116 | 4,375,461 | 03/1983 | Gander et al.    |       |          |             |
|                       | A117 | 4,376,761 | 03/1983 | Okami et al.     |       |          |             |
|                       | A118 | 4,386,448 | 06/1983 | Kohn             |       |          |             |
|                       | A119 | 4,399,582 | 08/1983 | Ernest et al.    |       |          |             |
|                       | A120 | 4,401,130 | 08/1983 | Halford et al.   |       |          |             |
|                       | A121 | 4,419,341 | 12/1983 | Kolesnik et al.  |       |          |             |
|                       | A122 | 4,428,929 | 01/1984 | Wicheta et al.   |       |          |             |
|                       | A123 | 4,430,013 | 02/1984 | Kaufman          |       |          |             |
|                       | A124 | 4,432,114 | 02/1984 | Goudsmit         |       |          |             |
|                       | A125 | 4,457,909 | 07/1984 | Tames            |       |          |             |
|                       | A126 | 4,476,108 | 10/1984 | Kessler et al.   |       |          |             |
|                       | A127 | 4,482,263 | 11/1984 | Palamara         |       |          |             |
|                       | A128 | 4,498,209 | 02/1985 | Weiss            |       |          |             |
|                       | A129 | 4,499,068 | 02/1985 | Silbering et al. |       |          |             |
|                       | A130 | 4,512,968 | 04/1985 | Komiyama et al.  |       |          |             |
|                       | A131 | 4,517,701 | 05/1985 | Stanford, Jr.    |       |          |             |
|                       | A132 | 4,525,340 | 06/1985 | Lange et al.     |       |          |             |
|                       | A133 | 4,538,631 | 09/1985 | Prince           |       |          |             |
|                       | A134 | 4,554,154 | 11/1985 | White            |       |          |             |
|                       | A135 | 4,559,223 | 12/1985 | Fox, Jr.         |       |          |             |
|                       | A136 | 4,563,345 | 01/1986 | Arrick           |       |          |             |
|                       | A137 | 4,568,537 | 02/1986 | Hoerman et al.   |       |          |             |
|                       | A138 | 4,570,653 | 02/1986 | Wolf             |       |          |             |
|                       | A139 | 4,576,190 | 03/1986 | Youssef          |       |          |             |
|                       | A140 | 4,588,089 | 05/1986 | Yanz, Jr. et al. |       |          |             |

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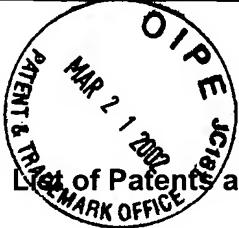
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|-----------------------|------|-----------|---------|---------------------|-------|----------|-------------|-------------|
| Initials              |      | Doc. No.  | Date    | Name                | Class | Subclass | Filing Date |             |
|                       | A141 | 4,610,871 | 09/1986 | Lynch               |       |          |             |             |
|                       | A142 | 4,610,872 | 09/1986 | Lynch               |       |          |             |             |
|                       | A143 | 4,617,694 | 10/1986 | Bori                |       |          |             |             |
|                       | A144 | 4,627,974 | 12/1986 | Lynch               |       |          |             |             |
|                       | A145 | 4,627,976 | 12/1986 | Lynch               |       |          |             |             |
|                       | A146 | 4,627,977 | 12/1986 | Gaffar et al.       |       |          |             |             |
|                       | A147 | 4,627,980 | 12/1986 | Lynch               |       |          |             |             |
|                       | A148 | 4,632,937 | 12/1986 | Lynch               |       |          |             |             |
|                       | A149 | 4,639,368 | 01/1987 | Niazi et al.        |       |          |             |             |
|                       | A150 | 4,647,450 | 03/1987 | Peters et al.       |       |          |             |             |
|                       | A151 | 4,659,561 | 04/1987 | Fives-Taylor et al. |       |          |             |             |
|                       | A152 | 4,670,252 | 06/1987 | Sampathkumar        |       |          |             |             |
|                       | A153 | 4,712,266 | 12/1987 | Yamaki              |       |          |             | MAR 22 2002 |
|                       | A154 | 4,714,612 | 12/1987 | Nakamura et al.     |       |          |             |             |
|                       | A155 | 4,724,136 | 02/1988 | Scheibl             |       |          |             | RECEIVED    |
|                       | A156 | 4,738,001 | 04/1988 | Shipp               |       |          |             |             |
|                       | A157 | 4,741,941 | 05/1988 | Englebert et al.    |       |          |             |             |
|                       | A158 | 4,795,628 | 01/1989 | Afseth              |       |          |             |             |
|                       | A159 | 4,804,530 | 02/1989 | Sampathkumar        |       |          |             |             |
|                       | A160 | 4,811,445 | 03/1989 | Lagieski            |       |          |             |             |
|                       | A161 | 4,824,661 | 04/1989 | Wagner              |       |          |             |             |
|                       | A162 | 4,828,820 | 05/1989 | Glass et al.        |       |          |             |             |
|                       | A163 | 4,831,676 | 05/1989 | Denmark             |       |          |             |             |
|                       | A164 | 4,861,582 | 08/1989 | Pollock et al.      |       |          |             |             |
|                       | A165 | 4,866,161 | 09/1989 | Sikes et al.        |       |          |             |             |
|                       | A166 | 4,875,247 | 10/1989 | Berg                |       |          |             |             |
|                       | A167 | 4,890,732 | 01/1990 | Shackelford         |       |          |             |             |
|                       | A168 | 4,950,479 | 08/1990 | Hill et al.         |       |          |             |             |

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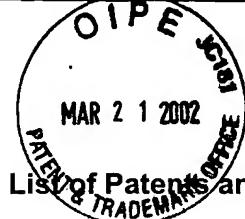
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| Initials              |      | Doc. No.  | Date    | Name             | Class | Subclass | Filing Date |
|                       | A169 | 4,952,392 | 08/1990 | Thame            |       |          |             |
|                       | A170 | 4,957,686 | 09/1990 | Norris           |       |          |             |
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## List of Patents and Publications for Information Disclosure Statement

Applicant: Kim M. Hoertsch Docket No.: 13724  
Serial No.: 10/010,511 Group: 1744  
Filed: December 6, 2001 Examiner: Unknown

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## List of Patents and Publications for Information Disclosure Statement

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 Serial No.: 10/010,511  
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 Serial No.: 10/010,511  
 Filed: December 6, 2001

Docket No.: 13724  
 Group: 1744  
 Examiner: Unknown

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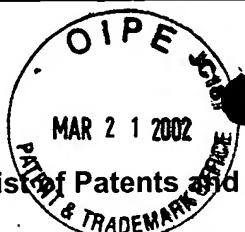
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| A61                    | Critical Care Oral Care Systems pages from Ballard Medical Products catalog featuring Kimberly-Clark Ready Care and Dentaswab Kits and Components, 2001, 6 pages including pp. 17-20.       |  |
| A62                    | Kimberly-Clark Ready Care Oral Care Systems sales literature featuring Ready Care Closed Suction Systems and Ready Care Dentaswab by Ballard Medical Products Critical Care, 2001, 2 pages. |  |
| A63                    | Toothette® ready-to-use disposable tooth care by Sage Products Inc., Briggs Corporation sales catalog, circa spring 2001, page 81.  |  |

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